

*International Symposium INCa: Cancer  
Immunotherapy*

Paris, December 8, 2008

# **Cancer vaccines and their targets**

**Giorgio Parmiani, MD**

Unit of Immuno-Biotherapy of Solid Tumors,  
San Raffaele Scientific and University Institute,  
Milan

# Target tumor associated antigens (TAAs) recognized by T cells. Which is the best TAA or combination of?

1. Shared/self/differentiation TAAs (natural vs. modified)
2. Shared/self/cancer testis or germinal TAAs
3. Universal TAAs (survivin, hTERT)
4. Mutated, unique TAAs
5. Cancer Stem Cells TAAs

# 1-2. Shared self TAAs

- Normal subjects and cancer patients show some form of tolerance to “self” TAAs (*immune ignorance, peripheral or central tolerance, low frequency of T cell precursors*).
- Tolerance needs to be broken in order to induce a T cell immune response against “self” TAAs.
- Thus, these TAAs are considered to be “weak antigens”

***In vivo* tolerance break  
(immunogenicity) of shared  
self TAAs**



**COA-1, a colorectal cancer TAA  
whose T cell recognition  
increases with tumor progression  
(Maccalli et al., Cancer Res 2003; Maccalli et al.  
Clin Cancer Res 2008)**

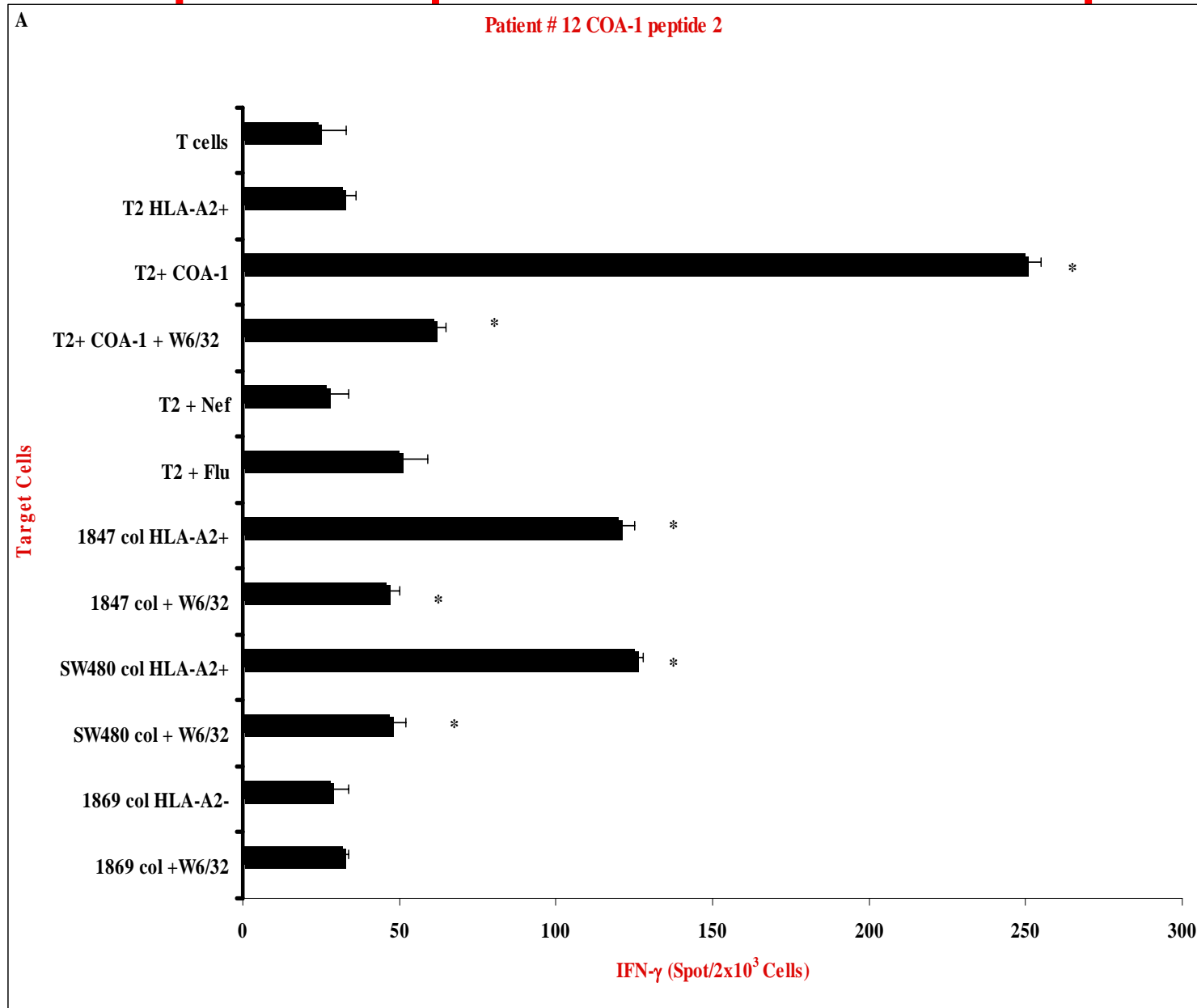
- *COA-1 is encoded by the UBXD5 gene, a regulator of RhoA functions affecting polymerization of actin fibers.*

# CD4+ T cell-mediated anti-COA-1 response in metastatic *but not early CRC*

| Patient # | Stage | COA-1                        |            |  |
|-----------|-------|------------------------------|------------|--|
|           |       | <i>N. specific cultures*</i> |            |  |
| 1         | I     | 0/24                         |            |  |
| 2         | II    | 0/24                         |            |  |
| 3         | II    | 0/24                         |            |  |
| 4         | II    | 0/24                         | <b>0/4</b> |  |
| 5         | IV    | <b>8/11</b>                  |            |  |
| 6         | IV    | <b>6/24</b>                  |            |  |
| 7         | IV    | <b>11/24</b>                 |            |  |
| 8         | IV    | <b>2/24</b>                  | <b>4/4</b> |  |

\*T cell cultures specifically recognizing COA-1 and tumor cells.

# HLA-A\*0201-related COA-1 epitopes elicit CD8<sup>+</sup> T cell tumor specific responses in metastatic CRC patients



# CONCLUSIONS

- **A hierarchy exists in the spontaneous recognition of “self” TAAs.**
- **Melan-A/MART-1 and CEA (not shown) are the most frequently recognized TAAs in melanoma and CRC, respectively.**
- **Recognition of “self” TAAs increases with the increased tumor burden (e.g. Melan-A/MART1, COA-1)**

# Results of first generation (1998-2008) of self peptide-based vaccination of metastatic melanoma patients (Phase I/II studies)

| Type of peptide Ag            | N. of patients | Clinical response (CR+PR) % | Immune response % |
|-------------------------------|----------------|-----------------------------|-------------------|
| Lineage related (e.g. MelanA) | 159            | 14                          | 20-65             |
| Cancer/Testis (e.g. MAGE)     | 92             | 17                          | 30-50             |
| DC peptides                   | 124            | 16                          | 56                |
| DC lysates                    | 106            | 18                          | 46                |

In a recent study *Slingluff et al. (2008)* reported 100% immune response in melanoma patients vaccinated with 12 peptides.

# Antigens recognized by T cells:

- 1) Shared, self differentiation proteins expressed also on normal cells (e.g. MART-1, CEA, PSA)
- 2) Shared self Cancer/Testis expressed by different tumors and by normal testis or placenta (e.g. MAGE, NY-ESO-1)
- 3) Shared, universal TAAs predominantly expressed by tumor cells (e.g. *survivin*, hTERT)**
- 4) Unique, expressed only by a single tumor (e.g. CDK4/m,  $\alpha$ -actin-m)

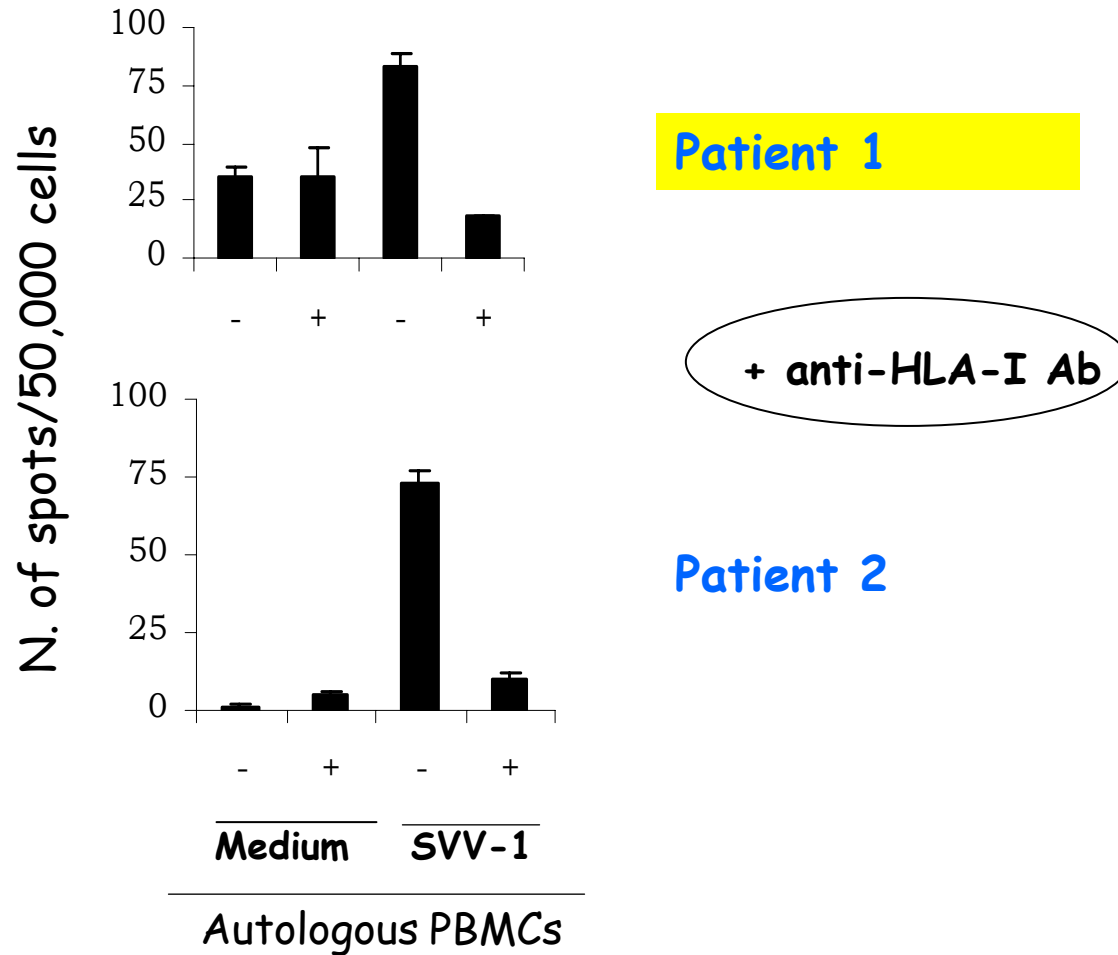
# **SURVIVIN (SVV)**

**Member of Inhibitor of Apoptosis Proteins**

- **Expression pattern:**
  - **abundant during fetal development**
  - **silenced in normal adult tissues**
  - **over-expressed in most common human cancers**

# IN VITRO INDUCTION OF HLA CLASS I-RESTRICTED

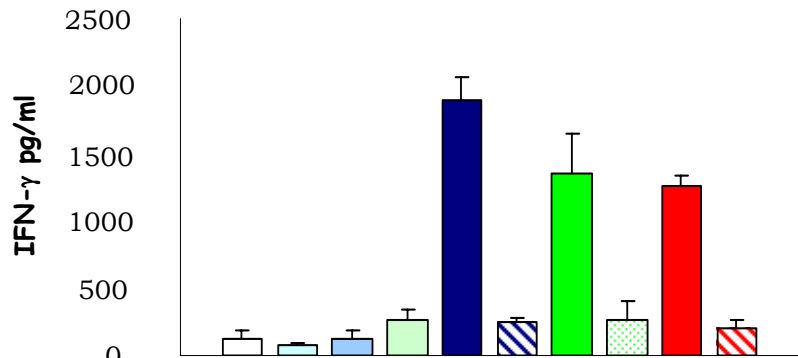
## ANTI-SVV-1<sub>95-104</sub> T CELLS IN PBMCs OF RECTAL CANCER PATIENTS



(Casati *et al.*, Cancer Res 2003)

# MHC-II RESTRICTED RESPONSE OF COLORECTAL CANCER PATIENTS TO rSVV PROTEIN OR SVV+CANCER LYSATE

## IFN- $\gamma$ ELISA



□ = medium

□ = auto LCL

□ = HCT 116 lysate

□ = melanoma lysate

■ = LCL + rSVV

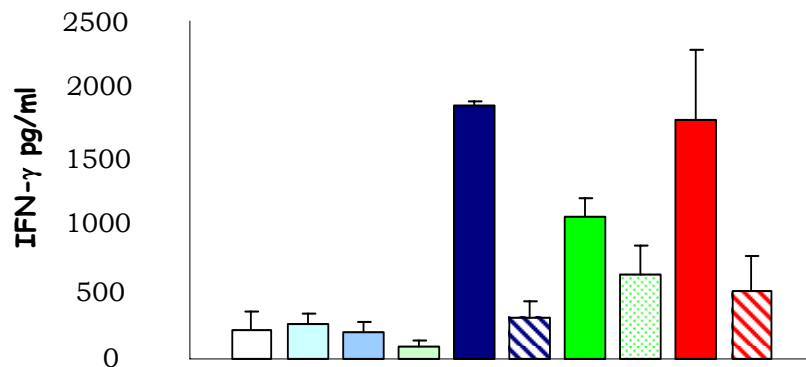
▨ = LCL + rSVV + L243

■ = LCL + HCT 116 lysate

▨ = LCL + HCT 116 lysate + L243

□ = LCL + C1R/SVV lysate

■ = LCL + C1R/SVV lysate + L243



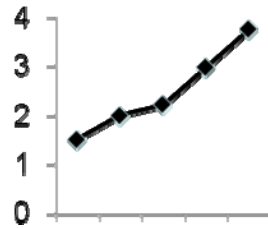
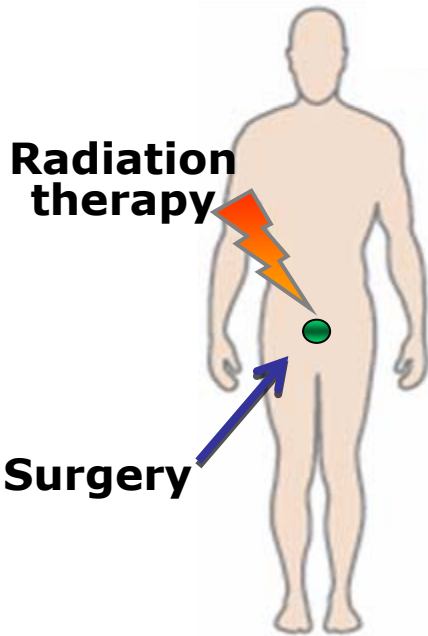
(Casati C *et al.*, Cancer Res, 2003)

**Conclusion:**

**SVV is an universal TAA  
that can elicit both  
HLA-I and HLA-II-restricted T cell  
responses**

# **SVV-based vaccines in prostate cancer**

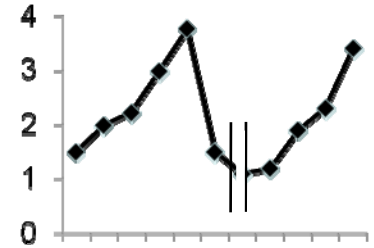
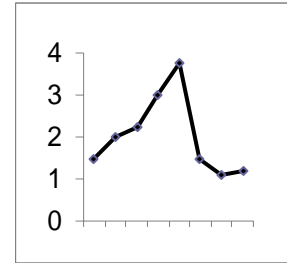
# Standard treatment for prostate carcinoma



Increase of PSA levels

Biochemical recurrence  
(absence of detectable disease)

Hormone therapy  
(partial or complete androgenic block)



Hormone refractory disease



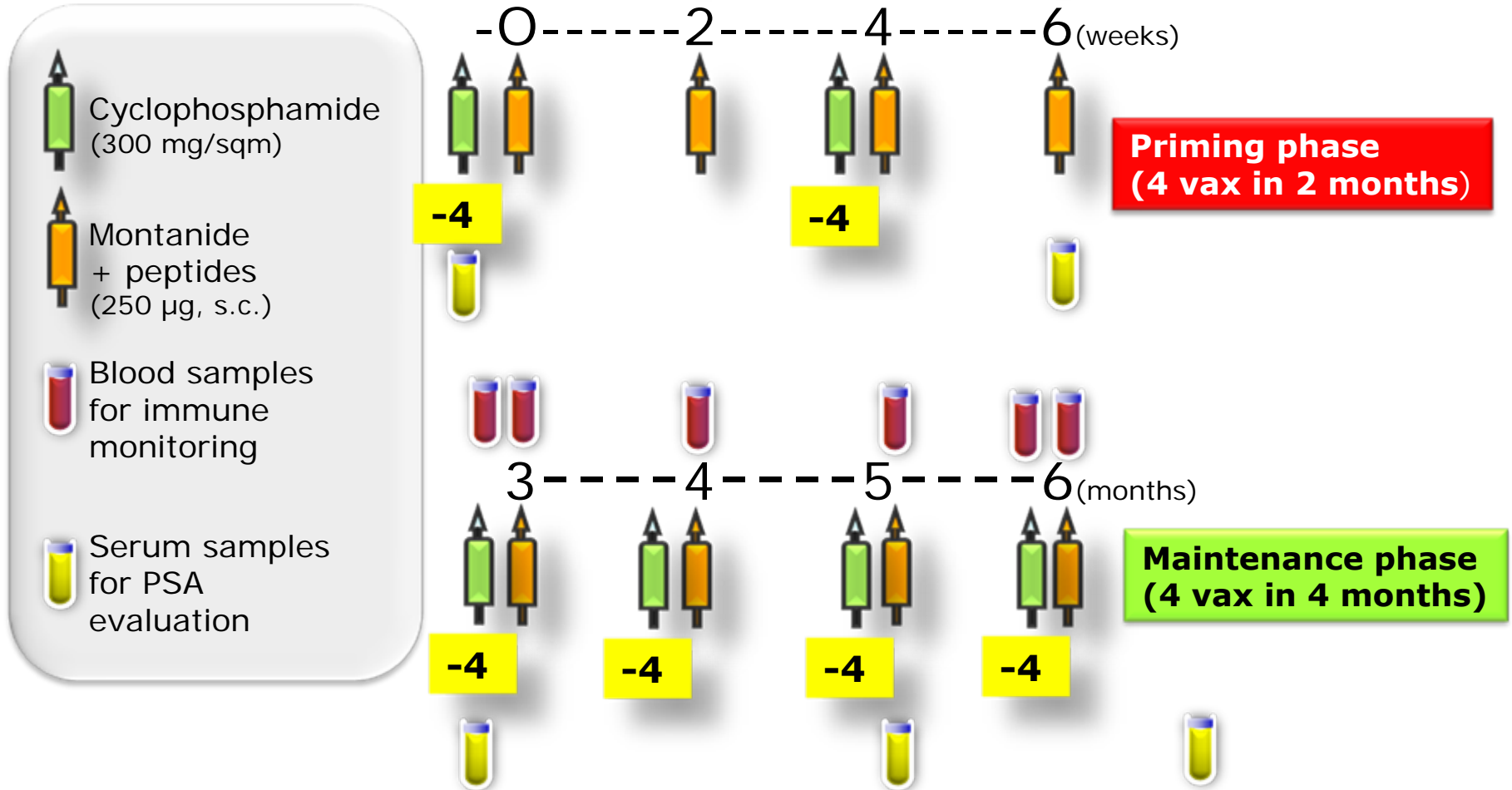
# Phase II trial of multiple peptide vaccination in HLA-A0201+ prostate carcinoma patients with biochemical recurrence after conventional therapies

## The vaccine

|                  |                    |
|------------------|--------------------|
| PSMA1            | LLHETDSAV          |
| PSMA2            | ALFDIESKV          |
| Survivin (SVV-1) | L <b>M</b> LGEFLKL |

- Low-dose cyclophosphamide (300 mg/m<sup>2</sup>) (for T reg down modulation)

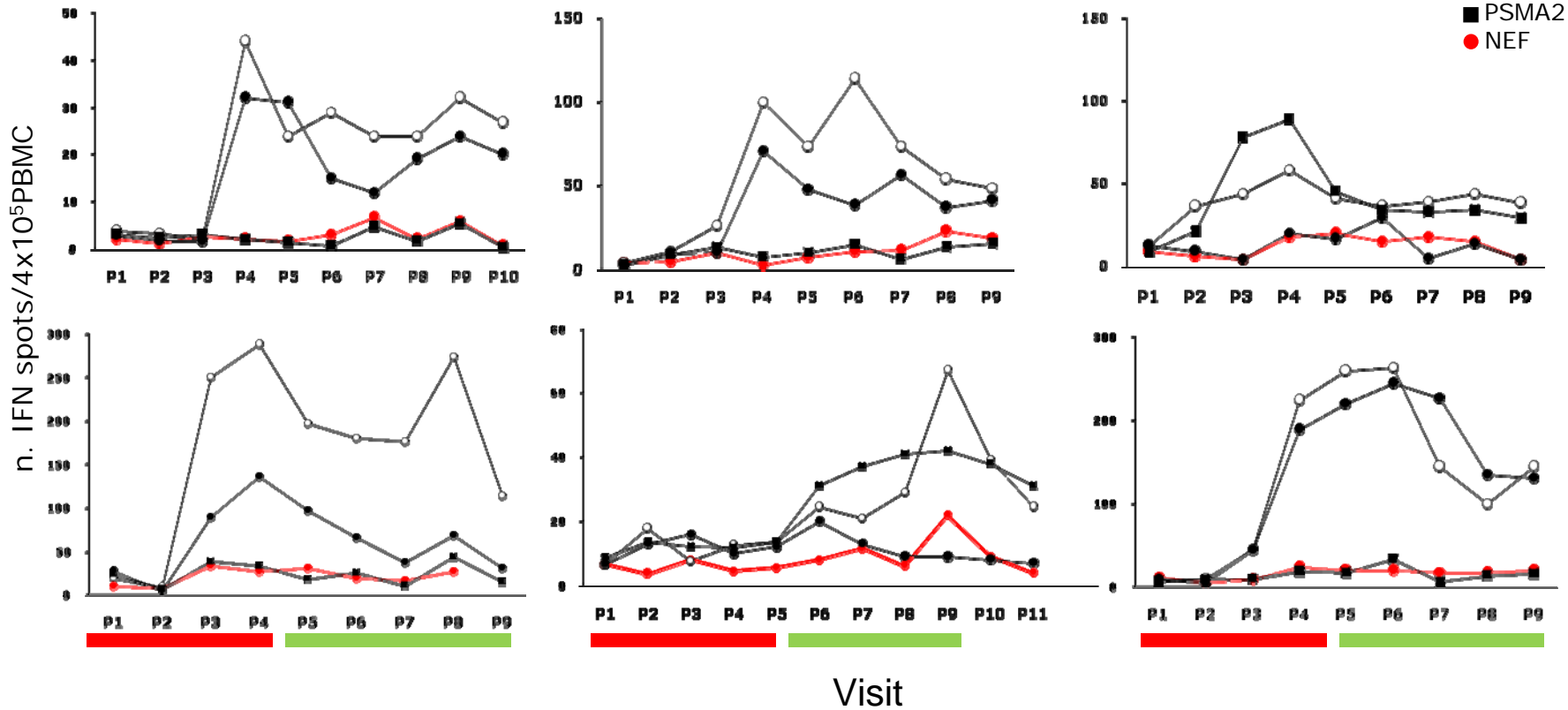
# Vaccine schedule



# Anti-peptide reactivity

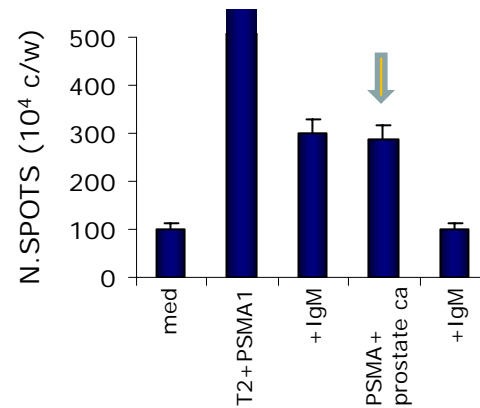
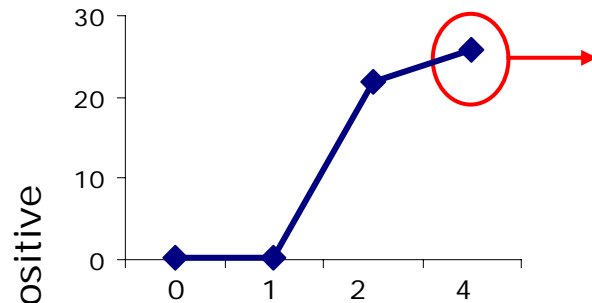
## IFN $\gamma$ Elispot , uncultured PBMCs

- SVV
- PSMA1
- PSMA2
- NEF

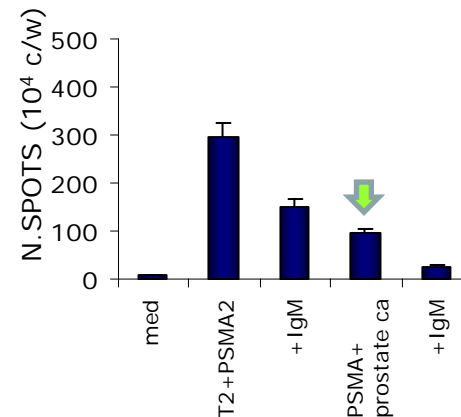
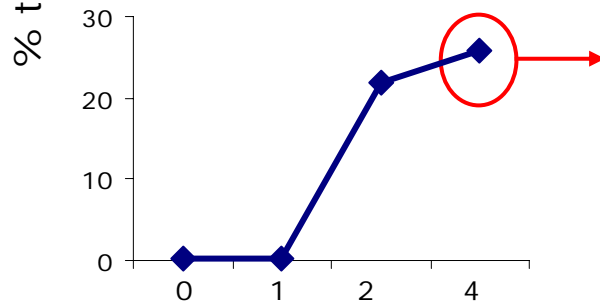


# T cells activated *in vivo* with natural peptides efficiently recognize tumor cells (upon *in vitro* sensitization)

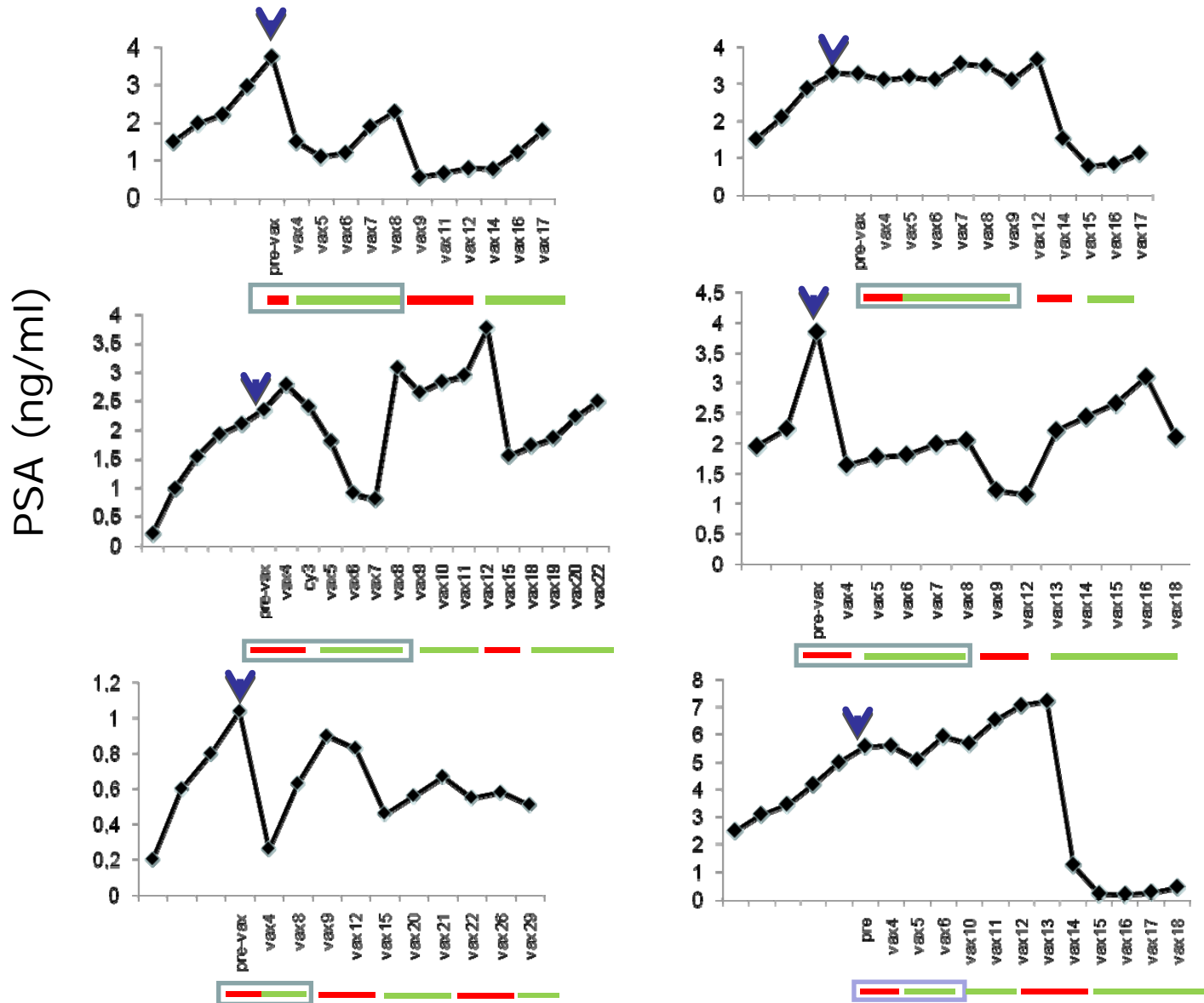
## Anti-PSMA1 (tumor recognition in 7/7 cases)



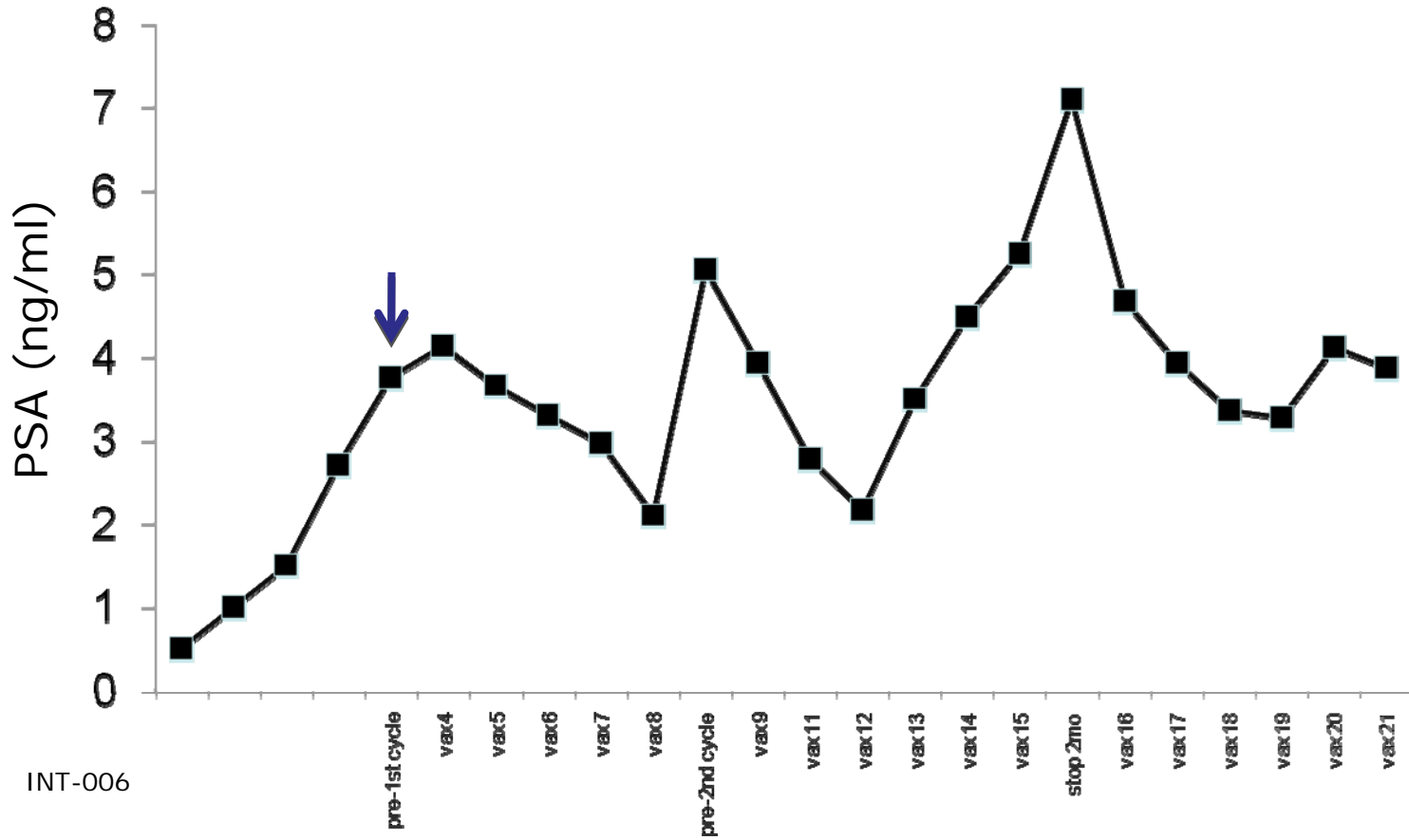
## Anti-SVV (tumor recognition in 1/7 cases)



# Effects of vaccination on PSA levels

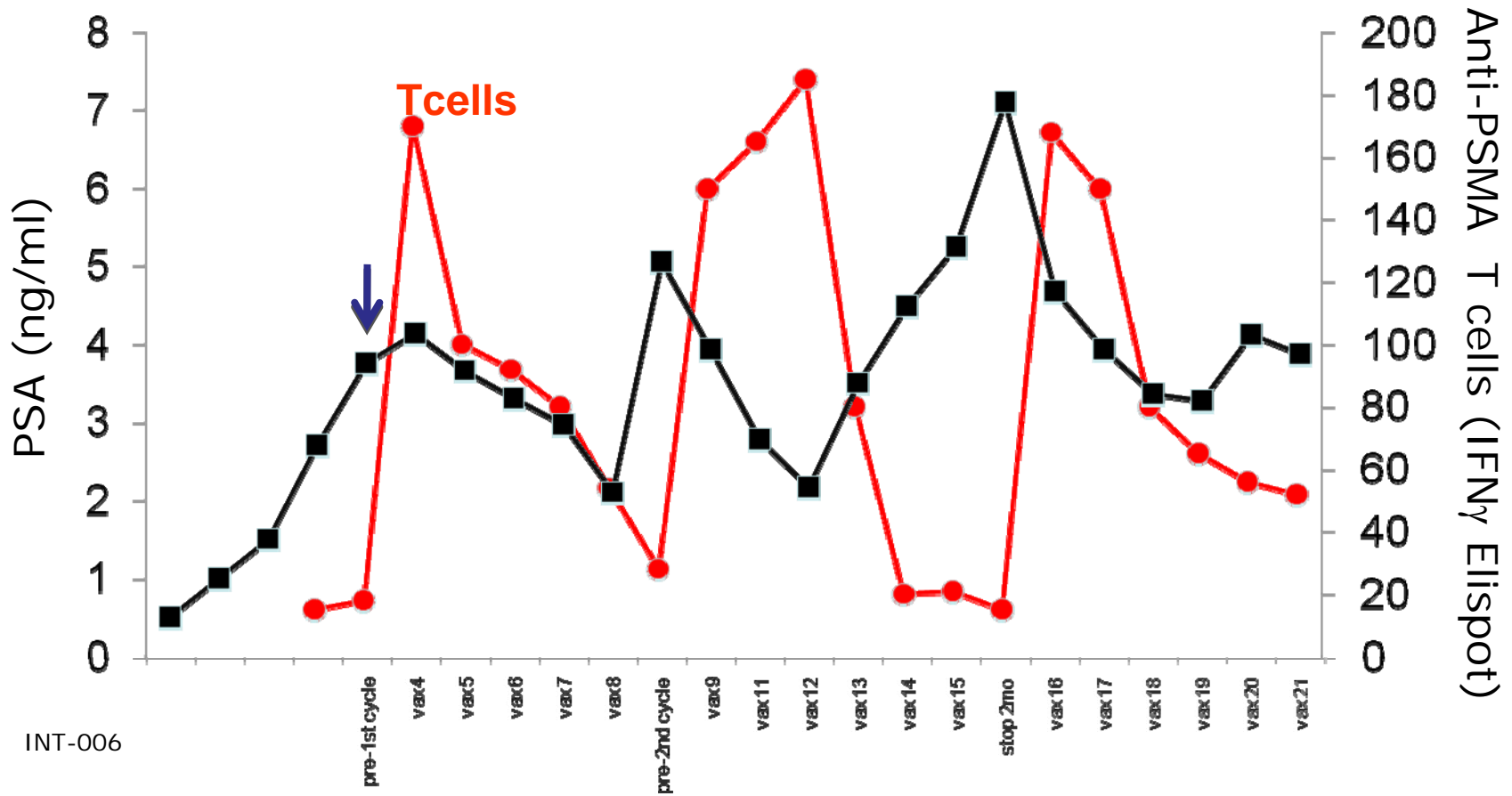


# Effects of priming vs maintenance vaccination on PSA levels



 intensive phase  
 delayed phase

# Effects of priming vs maintenance vaccination on PSA levels



intensive phase  
delayed phase

# Conclusions: Unique TAAs

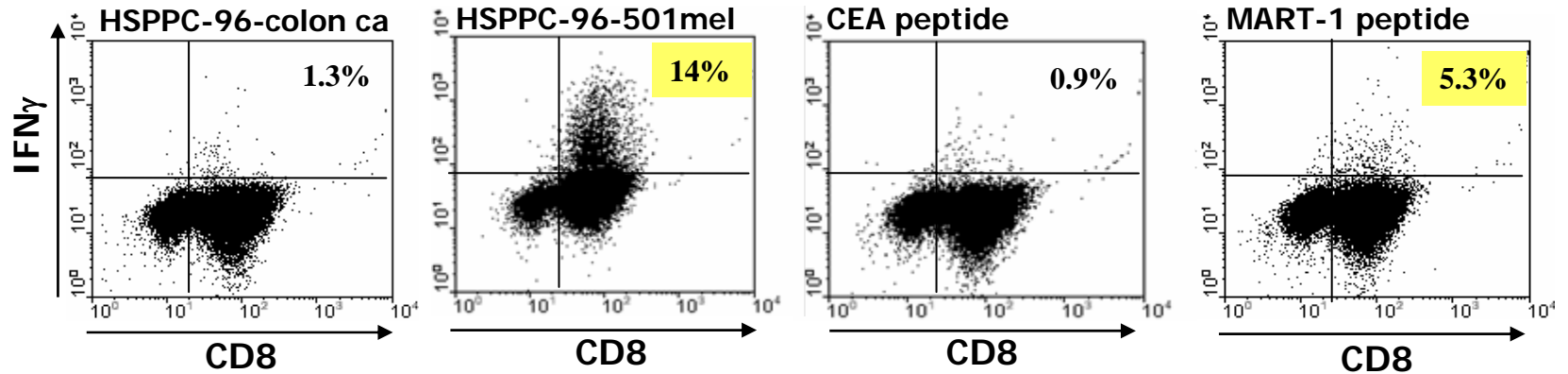
- Derive from mutated genes often involved in maintaining the neoplastic state (e.g. CDK4m)
- Expressed by a variety of human tumors
- Immunogenic *in vivo*
- Immunodominant over self TAAs

# Vaccination with unique TAAs

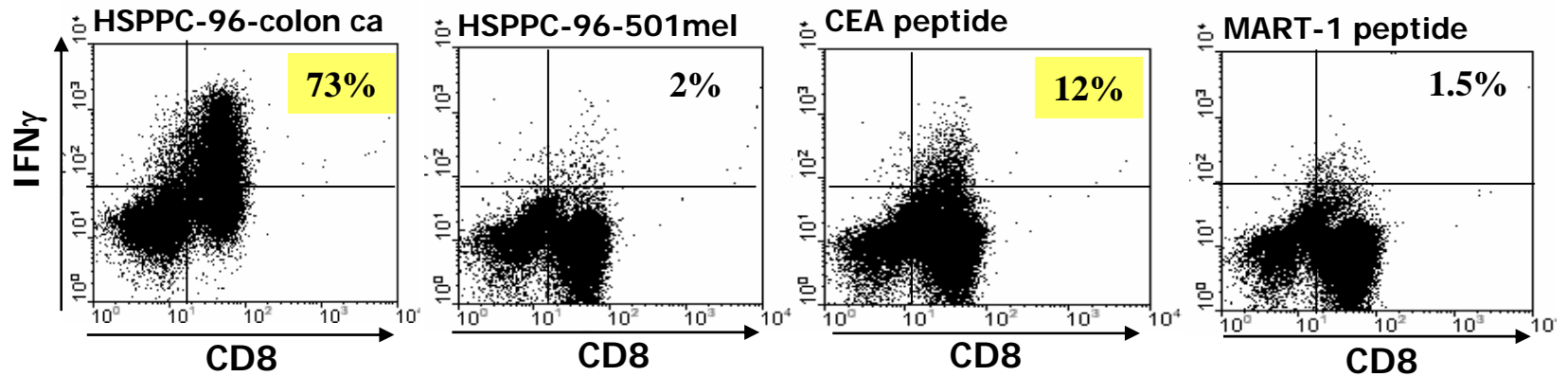
1. Autologous (gene modified?) tumor cells (G-Vax)
2. Autologous HSPs
3. Mutated peptides (wide transcriptome sequencing)

# Tumor-derived HSPPC-96 mediate cross-presentation by HLA-A\*0201+ monocytes of peptides from know tumor antigens to specific T cell clones

## Anti-MART-1 HLA-A2 restricted CD8+ T cell clone



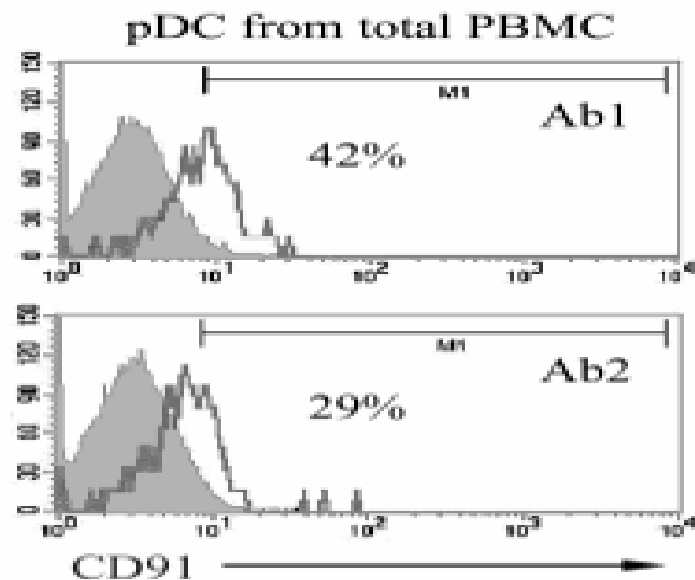
## Anti-CEA HLA-A2 restricted CD8+ T cell clone



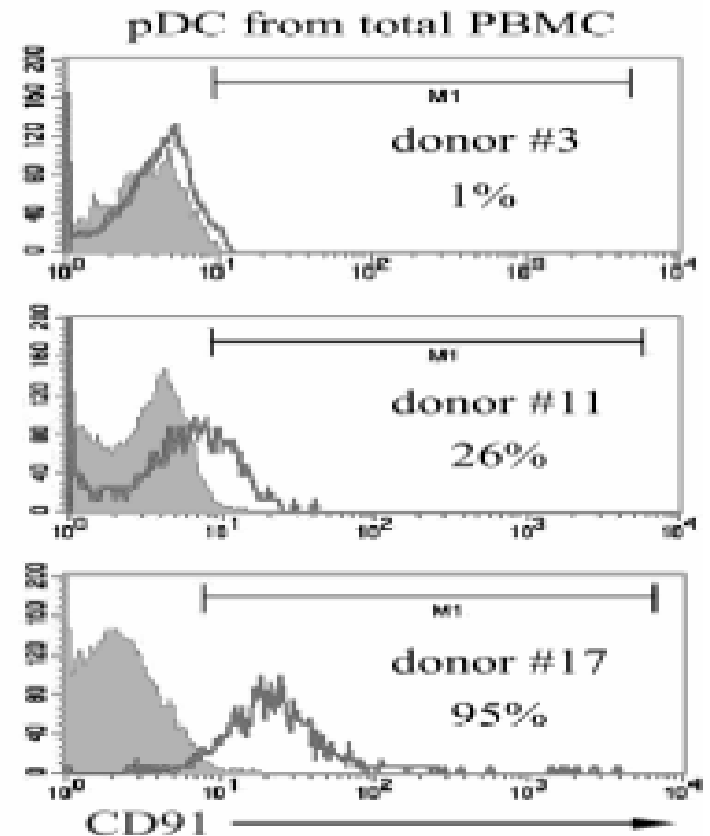
# HSP receptor CD91 is heterogeneously expressed on normal donors pDCs

Figure 3 De Filippo et al.

A



B

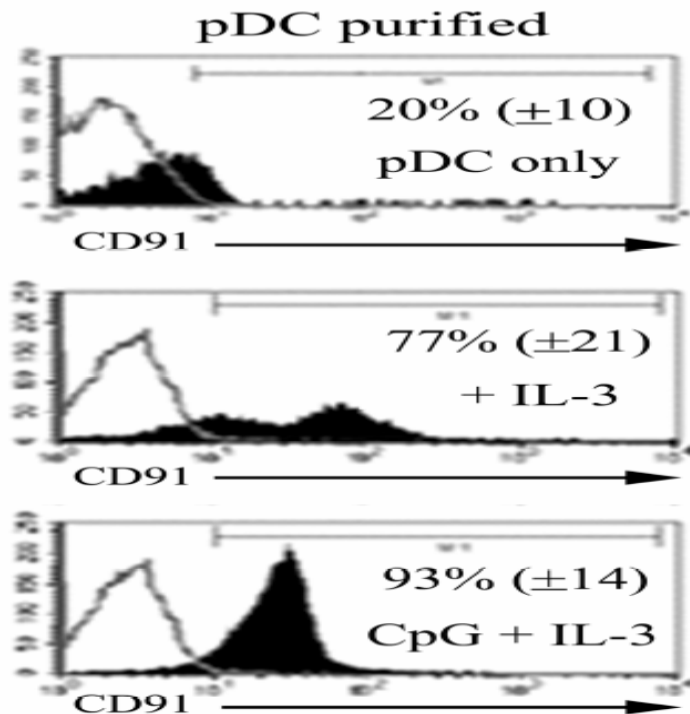


(De Filippo et al. J Immunol 2008)

# Increased CD91 expression after cell maturation parallels the increase in gp96 binding

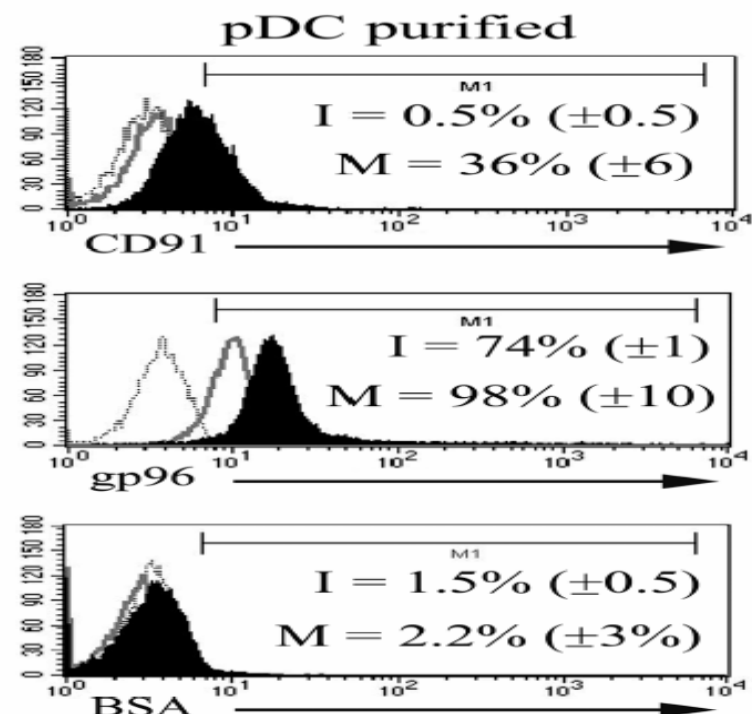
Figure 5 De Filippo et al

A



— Isotype  
■ CD91

B

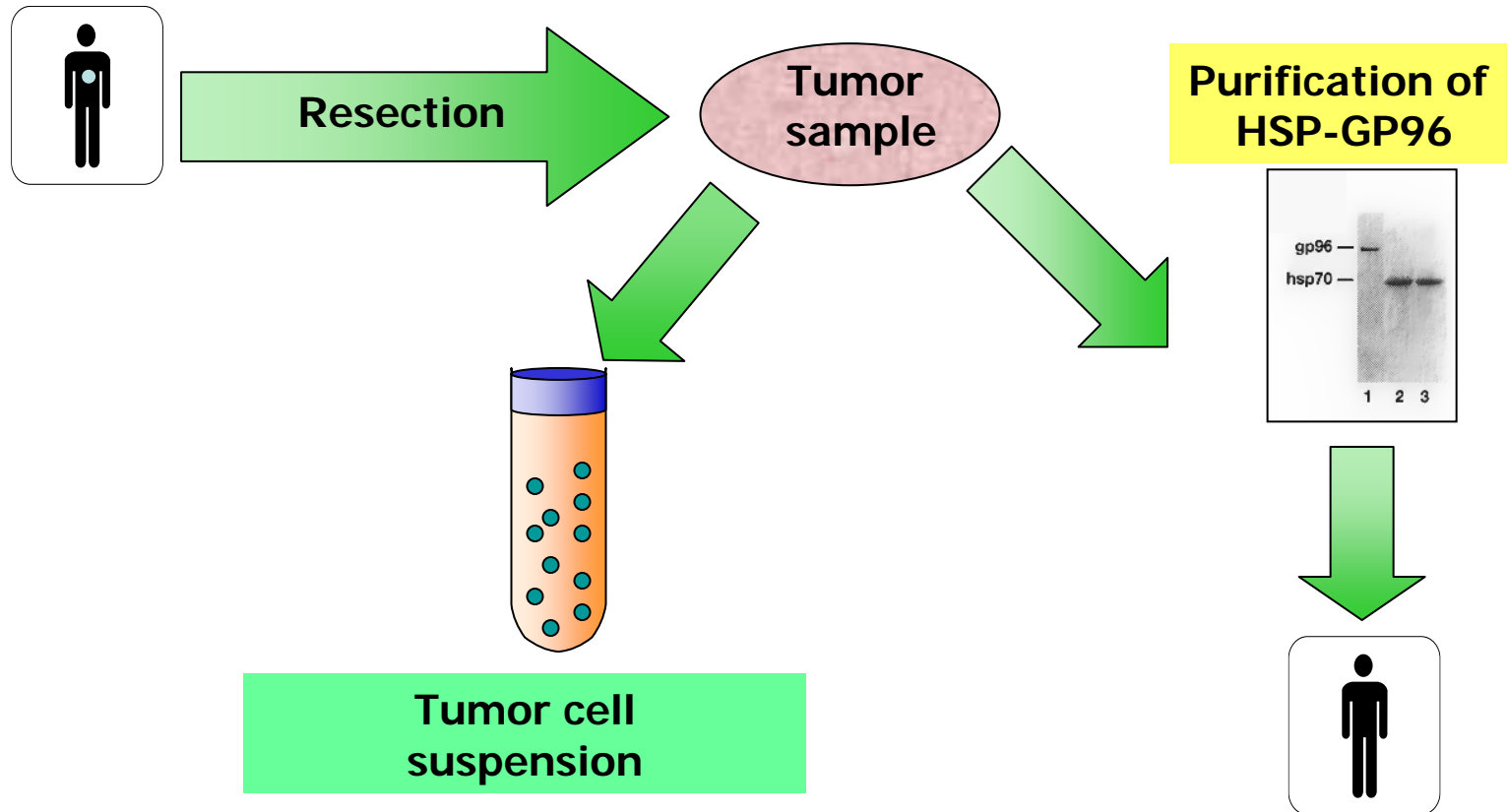


..... Isotype  
— I = immature  
■ M = matured

# Conclusions

- Gp96 interact with human blood pDCs directly, specifically and functionally.
- In absence of pDC maturation gp96 may down-modulate the immune response.
- CpG up-regulate CD91 thus promoting TLR9 and CD91 synergy.
- Thus, gp96 may bridge innate and adaptive immunity and explain their immunogenicity in vaccinated patients

# Vaccination of metastatic patients with HSPPC-96 derived from the autologous tumor

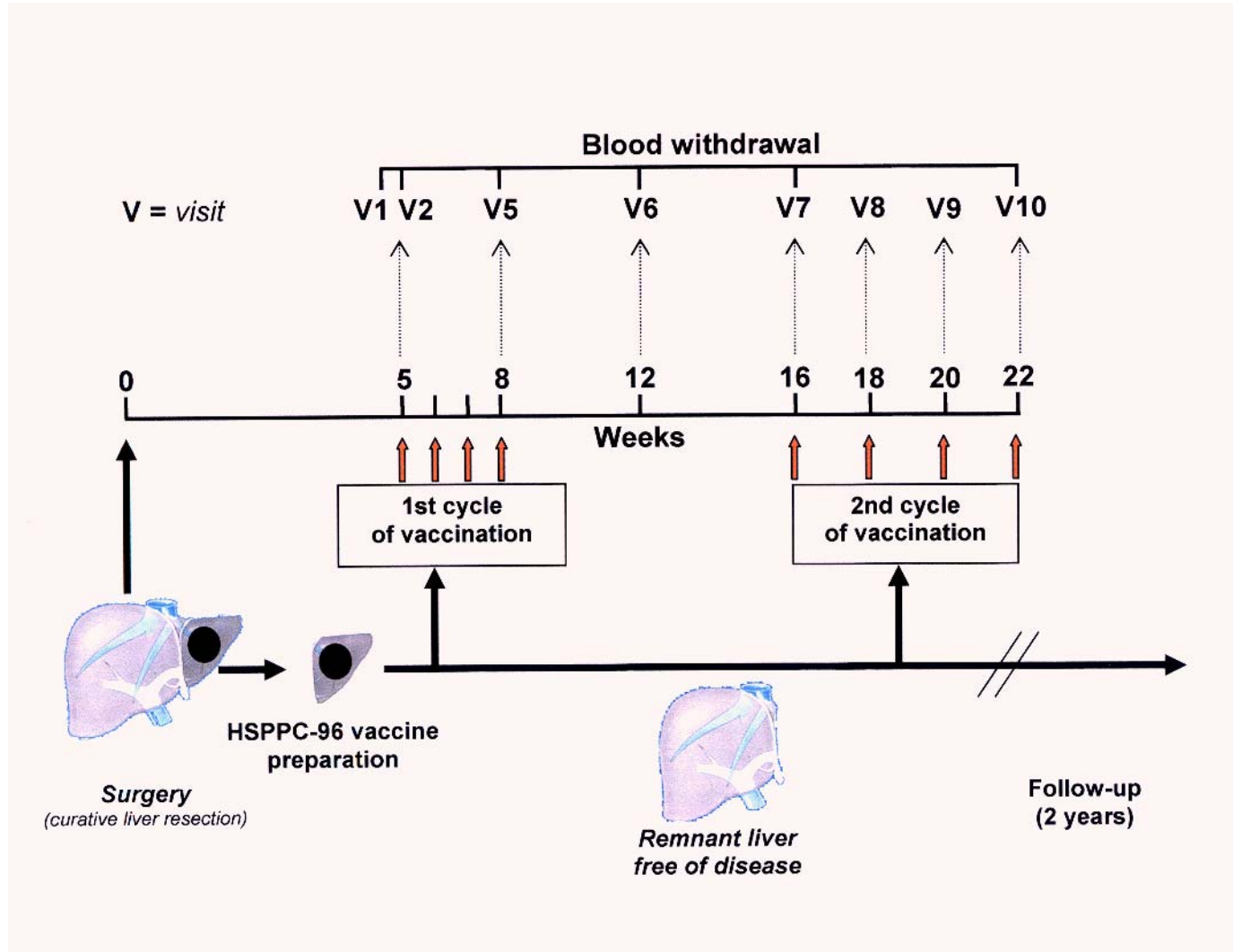


**Immunologic monitoring**  
*in vivo*: DTH  
*in vitro*: ELISPOT, tetramers staining

**Vaccination**

# Vaccination of patients with liver metastases of CRC with autologous HSPPC-96

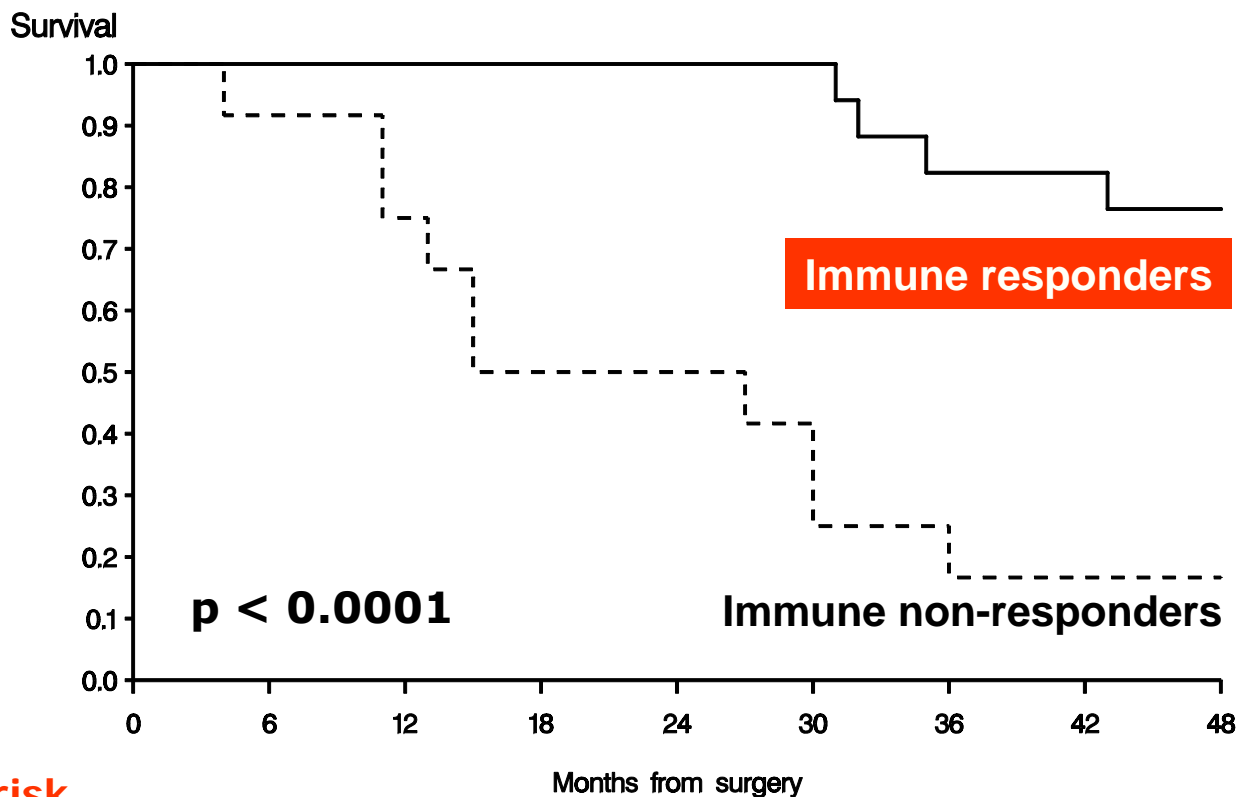
## - TREATMENT SCHEME -



# Major endpoints

- **Feasibility**
- **Safety**
- **Induction of T and NK cellular response against CRC cells or peptides (HLA-A2 patients)**

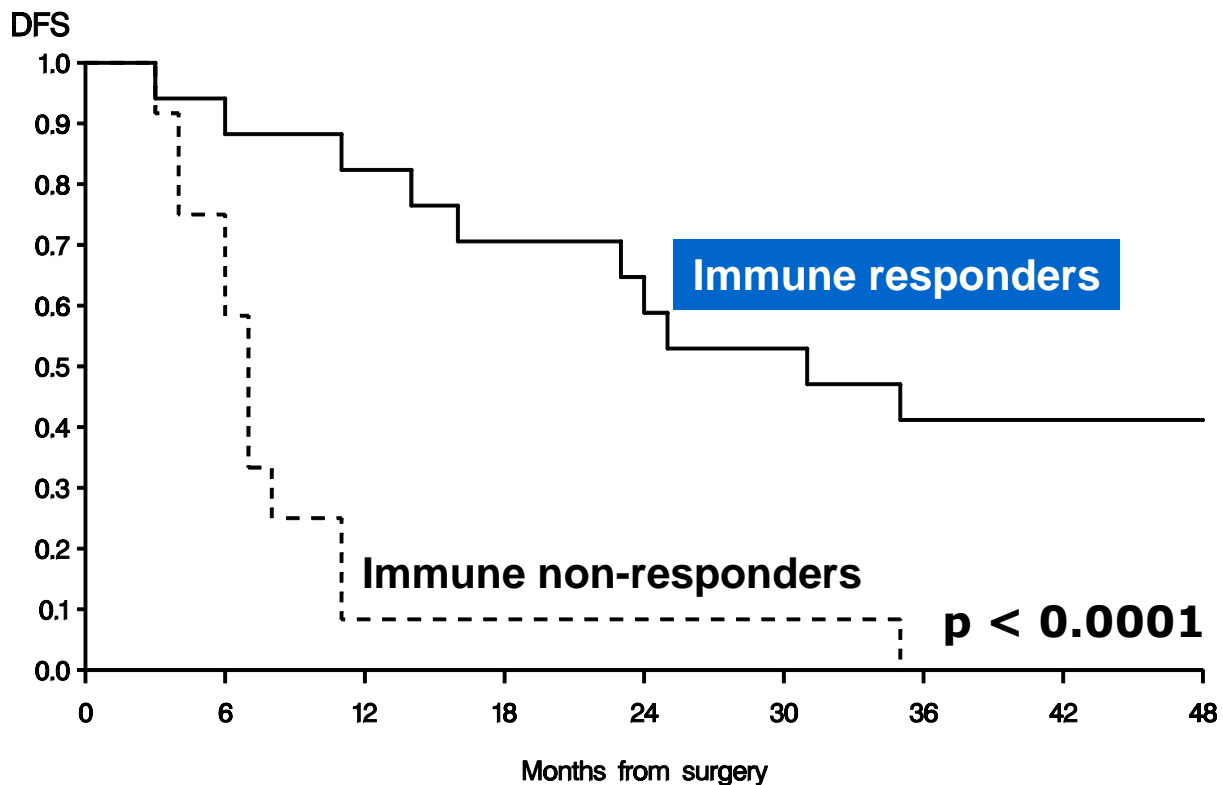
# OVERALL SURVIVAL AND T CELL RESPONSE INDUCED BY VACCINATION IN PATIENTS WITH LIVER METASTASES FROM CRC



## Patients at risk

|                              |    |    |    |    |    |    |    |    |    |
|------------------------------|----|----|----|----|----|----|----|----|----|
| <b>Immune responders</b>     | 17 | 17 | 17 | 17 | 17 | 17 | 14 | 14 | 11 |
| <b>Immune non-responders</b> | 12 | 11 | 9  | 6  | 6  | 5  | 3  | 2  | 2  |

# DISEASE-FREE SURVIVAL AND T CELL RESPONSE IN PATIENTS WITH LIVER METASTASES FROM CRC



## Patients at risk

|                              |    |    |    |    |    |   |   |   |   |
|------------------------------|----|----|----|----|----|---|---|---|---|
| <b>Immune responders</b>     | 17 | 16 | 14 | 12 | 11 | 9 | 7 | 7 | 6 |
| <b>Immune non-responders</b> | 12 | 9  | 1  | 1  | 1  | 1 |   |   |   |



# CONCLUSIONS

Vaccination of patients with liver metastases of CRC with autologous HSPPC-96 is feasible and safe.

Approximately 60% of patients developed a T cell response to CRC antigens and a NK response to CRC cells.

Both OS and DFS were longer in T cell responding than in non-responding subjects, independently from other prognostic factors.

Early phase III trials with melanoma and RCC concluded

# PHASE III RANDOMIZED TRIAL OF AUTOLOGOUS TUMOR-DERIVED HSPPC-96 *vs.* PHYSICIAN CHOICE IN METASTATIC MELANOMA (*Testori et al. JCO 2008*)

- **Trial features:** Randomization 2:1 favoring vaccination (215 vs. 107 patients). Physician choice included IL-2 and/or dacarbazine/temozolomide-based therapy and/or surgery
- **Results.** Overall, patient in ITT vaccination arm *fared similarly* to those in the physician choice arm in terms of survival.
  - Subset of patients who received *at least 10 doses* of vaccine showed an extension in median survival of 29% compared with those receiving physician choice treatment.

**Oncophage® was associated with clinical benefit (P= 0.017) in stage M1a and M1b patients who received at least 10 doses.**
- **Conclusion:** Signs of potential survival benefit in M1a/b patients.

# Vaccination with unique TAAs.

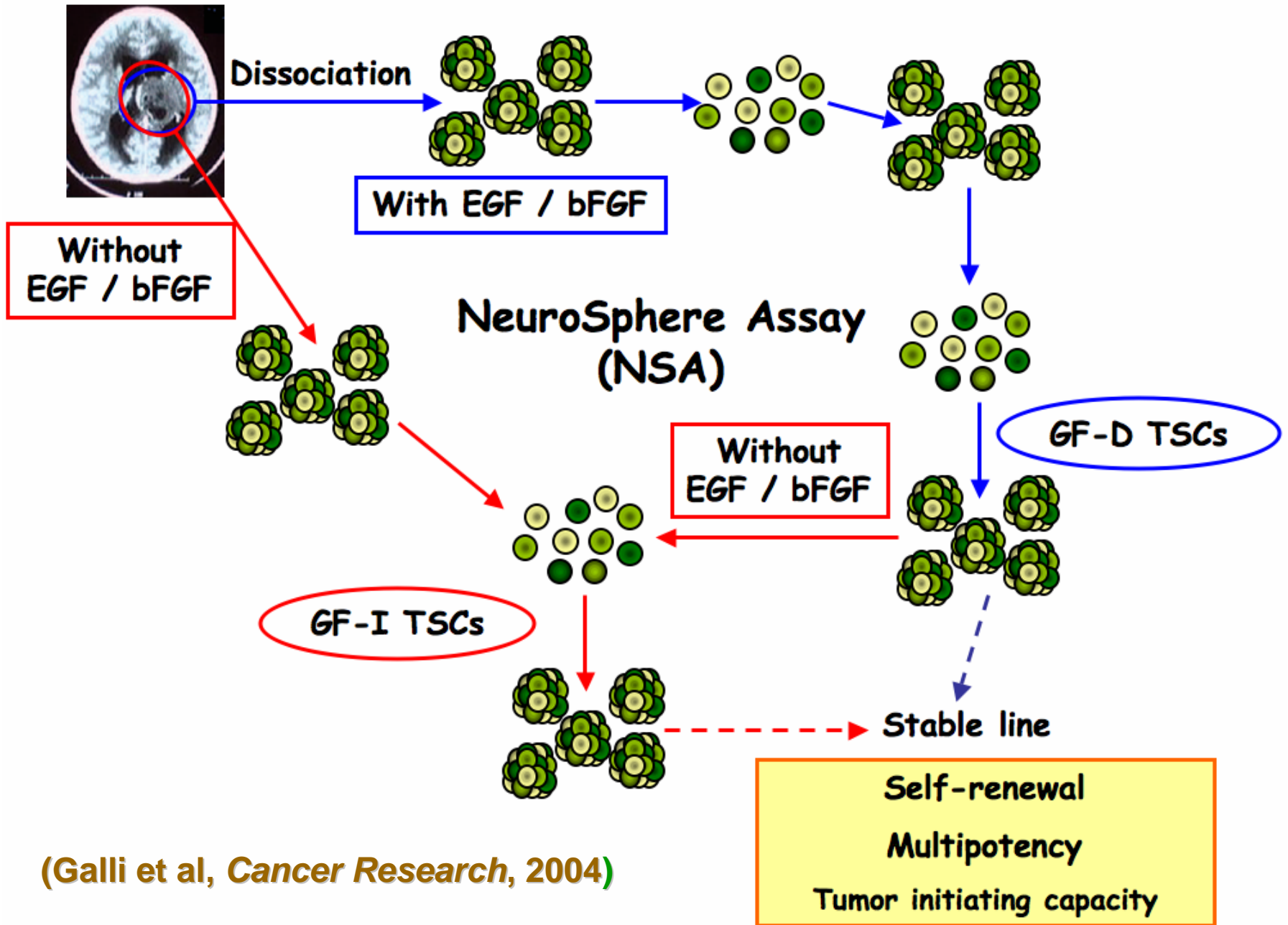
## A new genomic strategy

- Cancer cells contain many somatic mutations detectable by new sequencing technology. These mutations can generate candidate new T cell epitopes.
- Breast and colorectal cancers may accumulate 7-10 new HLA-A\*0201 epitopes that may define an individual tailored polyvalent vaccine.
- *(Segal et al., Cancer Res 2008)*

**Cancer stem cells (CSC): A new target of immunotherapy?**



- If the CSC hypothesis is correct then CSCs will represent the most important target of cancer therapy including immunotherapy.



(Galli et al, *Cancer Research*, 2004)

***In vitro* and *in vivo*  
expression of MHC, NKG2D  
ligands and TAAs by GBM-  
CSCs.**

# CSC GB LINES: CELL SURFACE ANTIGENIC PROFILE

| CSC<br>Line*    | Antigens            |           |                    |                   |            |             |          | Tumorigenicity |
|-----------------|---------------------|-----------|--------------------|-------------------|------------|-------------|----------|----------------|
|                 | MHC-I<br>%<br>(MIF) | MHC-II    | MICA               | MICB              | CD133      | EGFR        | Survivin |                |
| <b>GB0627 I</b> | <b>70</b><br>(138)  | 5<br>(79) | <b>47</b><br>(106) | <b>83</b><br>(68) | 5<br>(63)  | 94<br>(130) | 85%      | High           |
| <b>GB0627</b>   | 1<br>(23)           | 4<br>(23) | ND                 | 2<br>(25)         | 20<br>(99) | 55<br>(123) | 60%      | High           |
| <b>GB050615</b> | 1<br>(10)           | 1<br>(20) | 1<br>(30)          | 1<br>(10)         | 8<br>(206) | 18<br>(185) | 88%      | High           |
| <b>GB030616</b> | 13<br>(42)          | 3<br>(21) | 4<br>(22)          | 1<br>(20)         | 1<br>(20)  | 24<br>(60)  | 90%      | High           |

MIF = Mean intensity of fluorescence

\*As obtained from neurospheres

## TAAs expression by CSC and primary tumor cell lines derived from GBM patients

| Cell Line |                    | 0627 FBS       | 0627 | 070112 | 070112 | 080125 FBS | 080125 | 080418 FBS | 080418 |
|-----------|--------------------|----------------|------|--------|--------|------------|--------|------------|--------|
| Antigen   | IL-13 R $\alpha$ 2 | 1 <sup>b</sup> | 1    | 1      | 1      | 1          | 1      | 1          | 1      |
|           | Sox2               | 7              | 28   | 21     | 106    | 3          | 7      | 20         | 38     |

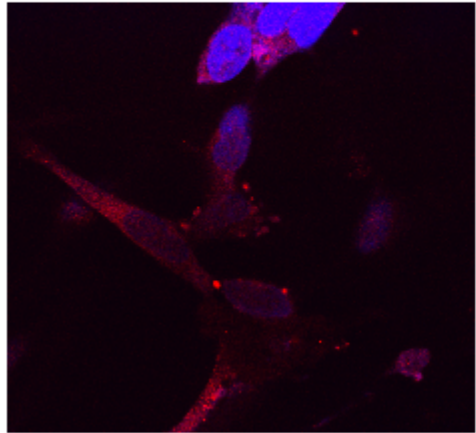
b MRI: ratio between the mean of fluorescence intensity of cells stained with the selected mAb and that of the negative control.

All cell lines ( 9 CSC and 4 FBS tumor lines) expressed high level of SOX2, while a failure in the expression of IL-13R $\alpha$ 2 was observed. Survivin and COA-1 were also expressed commonly by CSCs. NY-ESO was expressed weakly by few CSC lines; no detection of MAGE and Gp100 occurred.

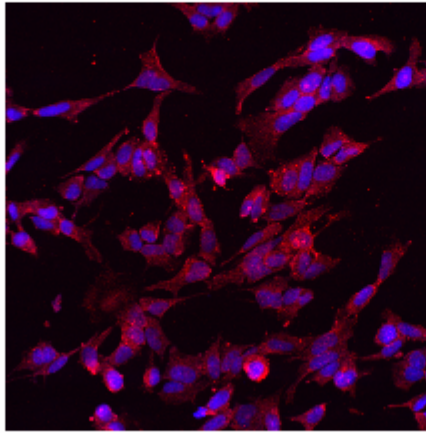
## Expression of TAAs by GBM CSCs

### Survivin

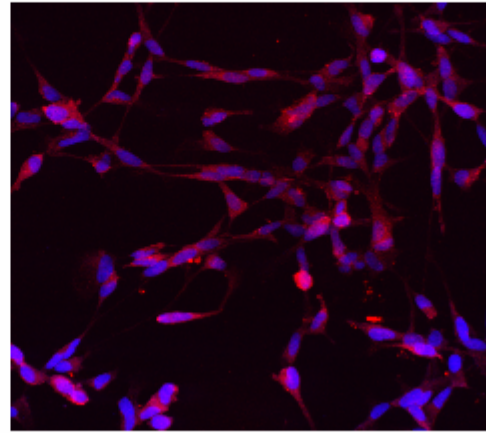
0627



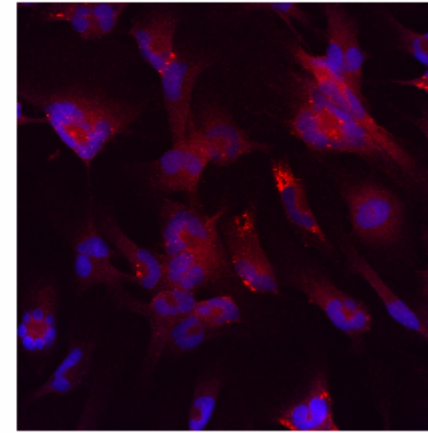
040211



050615



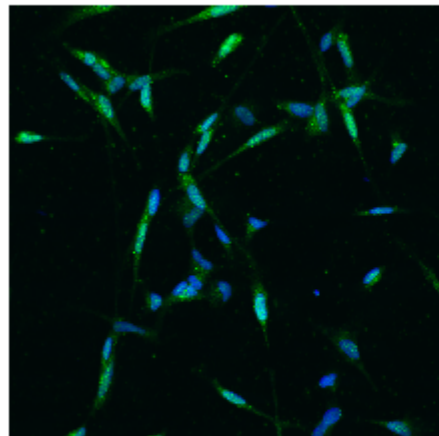
070104



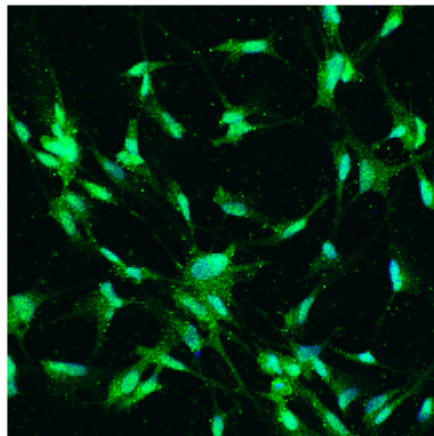
### COA-1

40 X

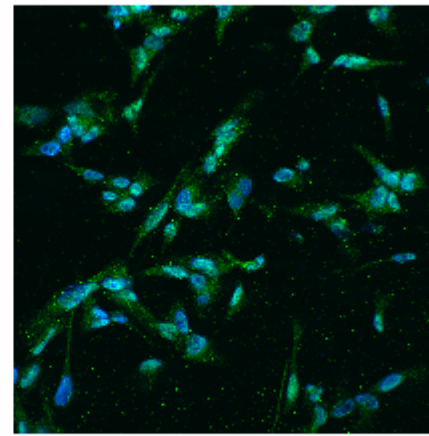
030616



050615

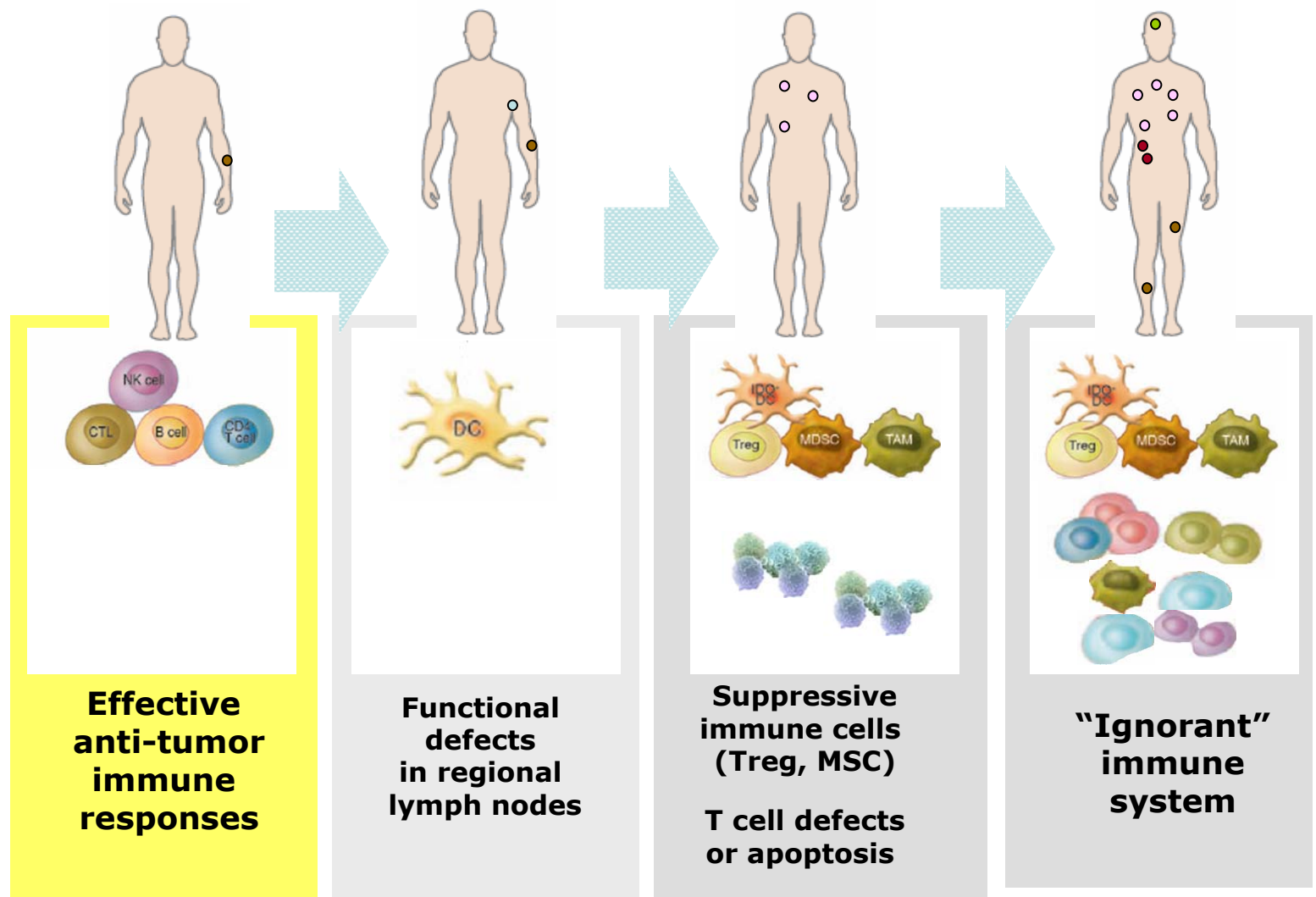


030418

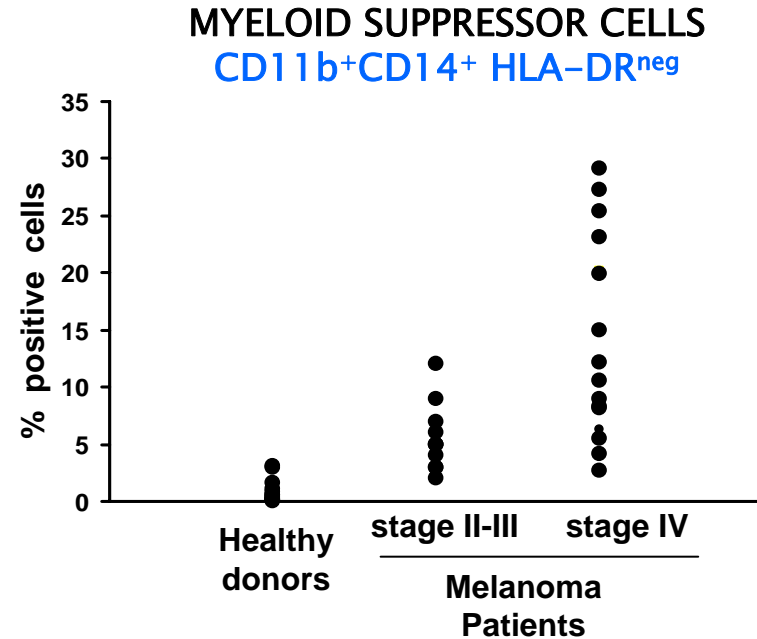
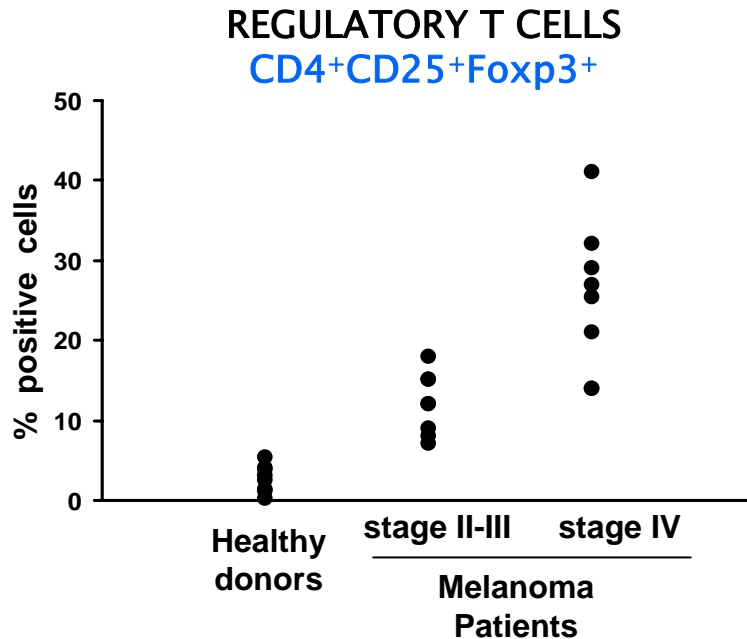


**Results:** Efficient expression of Survivin and COA-1 was commonly detected in GBM CSCs. Weak or negative expression of MAGE or NY-ESO-1 by CSC lines.

# Immune functions during cancer progression



# Immune dysfunctions are less pronounced in early stage melanoma



# Rationale for a new generation of cancer vaccines

- **Early disease**
- Multiple antigens including natural self, unique, CSC and universal TAAs
- New TLR targeting adjuvants (CpG, HSPs)
- **Down-regulation of Tregs and/or Myeloid Derived Suppressor Cells**
- Immune-monitoring in blood, LNs and tumor tissue.
- **Assessment of patient polymorphisms and tumor gene signatures**

# Acknowledgments

*Licia Rivoltini*

*Chiara Castelli*

*Cristina Maccalli*

*Chiara Camisaschi*

*Gianluca Cutolo*

*Piero Dalerba*

*Chiara Filipazzi*

*Veronica Huber*

*Manuela Iero*

*Andrea Marrari*

*Luisa Novellino*

*Lorenzo Pilla*

*Roberta Valenti*

*Agata Cova*

*Vincenzo Mazzaferro*

*Jorgelina Coppa*

*Mario Santinami*

*Roberto Patuzzo*

*Flavio Arienti*

*Alfonso Marchianò*

*Grazia Barp*

*Annabella Di Florio*

*Francesca Rini*

*Gloria Sovena*

*Paola Squarcina*

*Michele Maio*

*University Hospital,  
Siena*

*Marco Bregni*

*Vincenzo Russo*

*San Raffaele Institute,  
Milan*

*Pramod K. Srivastava*

*Antigenics, New York*

*Istituto Nazionale Tumori*

*Milan - Italy*